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RPTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

10/816,588

Filing Date

March 31, 2004

First Named Inventor

Moser, M. A.

Group Art Unit

2837

Examiner Name

Unassigned

Attorney Docket Number

16869Q-084600US

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

1) Return receipt postcard

2) Eight (8) references

Remarks

The Commissioner is authorized to charge any additional fees to
Deposit Account 20-1430.

Total number of pages does not include cited references.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
and
Individual name

Townsend and Townsend and Crew LLP

David N. Slone

Reg No. 28,572

Signature

David Slone

Date

September 17, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

September 17, 2004

Typed or printed name

Christopher R. Fitting

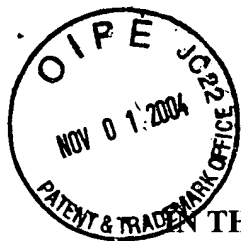
Signature

CR Fitting

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September 27, 2004

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60310843 v1



IFW

PATENT
Attorney Docket No.: 16869Q-084600US
Client Reference No.: HSJ920030124US1

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MICHAEL A. MOSER et al.

Application No.: 10/816,588

Filed: March 31, 2004

For: MOTOR DRIVE CIRCUITRY
WITH REGENERATIVE BRAKING
FOR DISK DRIVE

Examiner: Unassigned

Art Unit: 2837

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink that reads "David Slone". The signature is written in a cursive, flowing style.

David N. Slone
Reg. No. 28,572

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60310803 v1

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/816,588
				Filing Date	March 31, 2004
				First Named Inventor	Moser, Michael A.
				Art Unit	2837
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	16869Q-084600US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	AF	LINEAR TECHNOLOGY, product brochure for LT 1944, Dual Micropower Step-Up DC/DC Converter, pages 1-8 (2001).		
	AG	SGS-THOMPSON MICROELECTRONICS, product brochure for L6243DS Voice Coil Motor Driver, pages 1-8 (1998).		
	AH	DI GUARDO et al., SGS-THOMPSON MICROELECTRONICS, Application Note AN1112, Three-Phase Motor Control by using ST52x301, pages 1-22, (1999).		

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.